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Atty.Docket No. 080188**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Norio MURASE and Chunliang LIApplication No./Patent No.: 10/543,185Filed/Issue Date: March 23, 2006Entitled: SEMICONDUCTOR ULTRAFINE PARTICLES, FLUORESCENT MATERIAL, AND LIGHT-EMITTING DEVICE
NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL
SCIENCE AND TECHNOLOGY, a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

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2. an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017515, Frame 0709, or for which a copy therefore is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 26, 2008

Date

Signature

Mel R. Quintos

Printed or Typed Name

(202) 659-2930

Telephone Number

Attorney for Applicants, Reg. No. 31,898

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Patent Assignment Details

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Reel/Frame: 017515/0709**Pages:** 3**Recorded:** 07/22/2005**Attorney Dkt #:** SAEG129.012APC**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Total properties: 1**

1	Patent #: NONE	Issue Dt:	Application #: 10543185	Filing Dt: 03/23/2006
	Publication #: <u>US20070075294</u>	Pub Dt: 04/05/2007		

Title: Semiconductor ultrafine particles, fluorescent material, and light-emitting device**Assignors**

1	<u>MURASE, NORIO</u>	Exec Dt: 07/05/2005
2	<u>LI, CHUNLIANG</u>	Exec Dt: 07/05/2005

Assignee

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Search Results as of: 09/26/2008 04:15 PM

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BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: SAEG129.012APC

ASSIGNEE:

MURASE, NORIO

DOC DATE: 07/05/2005

ASSIGNEE:

LI, CHUNLIANG

DOC DATE: 07/05/2005

ASSIGNEE:

NATIONAL INSTITUTE OF ADVANCED
INDUSTRIAL SCIENCE AND
TECHNOLOGY

3-1, KASUMIGASEKI 1-CHOME, CHIYODA-
KU, TOKYO, JAPAN 1008921

017515/0709 PAGE 2

SERIAL NUMBER: 10543185

FILING DATE:

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ISSUE DATE:

TITLE: SEMICONDUCTOR ULTRAFINE PARTICLES, FLUORESCENT MATERIAL AND LIGHT-EMITTING DEVICE

SHARON LATIMER, EXAMINER
ASSIGNMENT SERVICES BRANCH
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Client Code: SAEG129.012APC

02-02-2006

10/543185

ec'd PCT/PCT 22 JUL 2006
original documents or copy thereof.

To the Director, U.S. Patent and Trademark Office

1. Name of conveying parties: 1. Norio Murase 2. Chunliang Li		103169202	Address of receiving party: Name: National Institute of Advanced Industrial Science and Technology Street Address: 3-1, Kasumigaseki 1-chome, Chiyoda-ku City: Tokyo Country: Japan ZIP: 1008921
Additional name(s) of conveying party(ies) attached? () Yes (X) No		Additional name(s) of receiving party(ies) attached? () Yes (X) No	
3. Nature of conveyance: (X) Assignment () Security Agreement () Merger () Change of Name () Other:		4. US or PCT Application number(s) or US Patent number(s): (X) Application(s) filed herewith Additional numbers attached? () Yes (X) No	
Execution Date: 1. July 5, 2005 2. July 5, 2005		5. Party to whom correspondence concerning document should be mailed: Customer No. 20,995 Address: Knobbe, Martens, Olson & Bear, LLP 2040 Main Street, 14 th Floor Irvine, CA 92614 Return Fax: (949) 760-9502 Attorney's Docket No.: SAEG129.012APC	
7. Total fee (37 CFR 1.21(h)): \$40 (X) Enclosed		6. Total number of applications and patents involved: 1 8. Deposit account number: 11-1410 Please charge this account for any additional fees which may be required, or credit any overpayment to this account.	
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.			
Eric M. Nelson Name of Person Signing		Signature	7/22/2005 Date
43,829 Registration No.		Total number of pages including cover sheet, attachments and document: 3	

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PATENT

ASSIGNMENTWHEREAS, I/WE (1) MURASE Norio,a Japanese citizen, residing at c/o Kansai Center of NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka 5638577, Japan(2) LI Chunliang,a Chinese citizen, residing at c/o Kansai Center of NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka 5638577, Japan

(3) _____,

a _____ citizen, residing at _____

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in SEMICONDUCTOR ULTRAFINE PARTICLES, FLUORESCENT MATERIALAND LIGHT-EMITTING DEVICE

the specification of which:

(a) is attached hereto; or(b) was filed on _____ as Application

No. _____ or Express Mail No., as Application No. not

yet known _____ and was amended

on _____ (if applicable); or

(c) was described and claimed in PCT International Application No._____PCT/JP2004/000585 filed on January 23, 2004

and as amended under PCT Article 19 on _____

(if any) and/or under PCT Article 34 on _____ (if any).

AND WHEREAS, NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY,with its principal place of business at 3-1, Kasumigaseki 1-chome, Chiyoda-ku, Tokyo 1008921, Japan, (hereinafter referred to as Assignee) desires to acquire the entire right, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for

said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 5th day of July, 2005 Norio Murase
Signature of MURASE Norio

This 5th day of July, 2005 Chunliang Li
Signature of LI Chunliang

This _____ day of _____, 20_____
Signature of _____